



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Hiroko IWASAKI

Inventor

for

OPTICAL DATA RECORDING MEDIUM AND MATERIAL FOR HEAT-RESISTANT PROTECTION LAYER  
FOR THE SAME

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1.  Specification (total pages 19) including:
  - a. 2 pages of claims (6 claims)
  - b. 1 page Abstract
2.  1 sheet of X informal   formal drawings (Figs. 1-2)
3.  Oath or declaration of Applicant (2 total pages)
  - a.   Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Item 14 completed)*
4.   Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.  Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.   Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.   An assignment of the invention to Ricoh Company, Ltd. (cover sheet & document(s))
8.   37 CFR 3.73(b) Statement (*when there is an assignee*)
9.  Power of Attorney
  - a.   Newly executed (original or copy)
  - b.  Copy from a prior application

10. X Information Disclosure Statement (IDS)/PTO-1449

— Copies of IDS Citations

11. X A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13.   A small entity statement under 37 C.F.R. §1.9 and §1.27

— Statement filed in prior application, status still proper and desired

14. X If a Continuing Application, *check the appropriate box and supply the requisite information:*

Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

Divisional of prior application No.: 08/805,031 filed February 21, 1997, now abandoned,  
which is a continuation of application S.N. 08/612,304 filed March 7, 1996 which is now  
abandoned.

Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

15. X Amend the specification by inserting before the first line of page one: --This is a divisional of  
application Serial No. 08/805,031 filed February 21, 1997 now abandoned, which is a continuation  
of application Serial No. 08/612,304 filed March 7, 1996.--

16. X Priority of application Nos. 07-074,714 filed in Japan on March 8, 1995. Applicant hereby claims  
priority under 35 U.S.C. § 119.

— A certified copy of priority application No. \_\_\_\_\_ is enclosed

A certified copy of priority application No. 07-074,714 has been filed in prior application  
S.N. 08/612,304 filed March 7, 1996

17.   Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

|   | Number<br>Filed |   | Number<br>Extra* | Rate            |                 | Fee             |                 |
|---|-----------------|---|------------------|-----------------|-----------------|-----------------|-----------------|
|   |                 |   |                  | Small<br>Entity | Other<br>Entity | Small<br>Entity | Other<br>Entity |
| Total<br>Claims   | 9-20            | = | 0                | x               | \$ 9            | \$ 18           | = \$ 0          |
| Indep.<br>Claims  | 9-3             | = | 6                | x               | \$ 40           | \$ 80           | = \$ 480        |
| Multiple Dependent<br>Claims Presented: Yes _____ No <u>X</u>             |                 |   |                  | \$135           | \$270           | = \$ 0          |                 |
| If the difference in column 1 is less than zero,<br>enter "0" in column 2 |                 |   |                  | Basic Fee       |                 | \$ 355          | \$ 710          |
|   |                 |   |                  | Total Fee       |                 |                 | \$ 1190         |

18.  A check in the amount of \$1190 to cover the filing fee.

19.  Please charge Deposit Account No. 03-3125 in the amount of \$ 40.00 for recordation of the Assignment.

20.  The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.

Filing fees under 37 C.F.R. § 1.16.

Patent application processing fees under 37 C.F.R. §1.17.

The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

21.  Three copies of this sheet are enclosed.

22.  Other (identify) \_\_\_\_\_

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Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Hiroko IWASAKI  
Serial No. : Div. of 08/805,031 Group Art Unit:  
Date Filed : Concurrently Herewith Examiner:  
For : OPTICAL DATA RECORDING MEDIUM AND MATERIAL FOR  
HEAT-RESISTANT PROTECTION LAYER FOR THE SAME

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New York, N.Y. 10036

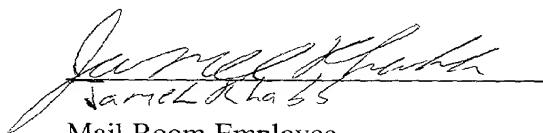
Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EM169952159US

Date of Deposit: April 17, 2001

I hereby certify that the above-identified application which is a Rule 1.53(b) divisional of S.N. 08/805,031 filed February 21, 1997, consisting of a 3-page continuation application (in triplicate), copy of parent application S.N. 08/612,304 filed March 7, 1996, copy of the Declaration and Power of Attorney in the parent application, Information Disclosure Statement, Form PTO-1449, Preliminary Amendment, 1 sheet of informal drawings (and photocopy thereof), and check for \$1190 filing fee, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.



James R. Lass

Mail Room Employee  
Depositing Express Mail Material

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